

☐ Individual ☐ Joint ☐ Corporate

Account Number:

PRIMARY ACCOUNT HOLDER INFORMATION

Mr. ☐ Mrs. ☐ Miss ☐ Others (Please Specify) _____

First Name _____

Middle Name _____

Last Name _____

Please check your preferred address for all **Saltaire Finance PLC** legal documents and shares registration.

Home Address ☐ Company Address ☐

Company Name (Please indicate if you checked Company Address)

Street Name and Number _____

Town/District _____

City _____

Post Code _____

Country _____

Main Contact Information

Home Number _____

Business Number _____

Mobile Number _____

Fax Number _____

Email Address _____

Alternative Contact Information

Home Number _____

Business Number _____

Mobile Number _____

Fax Number _____

Email Address _____

Please indicate preferred time to take a call.

☐ Morning ☐ Afternoon ☐ Evening

SECONDARY ACCOUNT HOLDER INFORMATION

Mr. ☐ Mrs. ☐ Miss ☐ Others (Please Specify) _____

First Name _____

Middle Name _____

Last Name _____

Please check your preferred address for all **Saltaire Finance PLC** legal documents and shares registration.

Home Address ☐ Company Address ☐

Company Name (Please indicate if you checked Company Address)

Street Name and Number _____

Town/District _____

City _____

Post Code _____

Country _____

Main Contact Information

Home Number _____

Business Number _____

Mobile Number _____

Fax Number _____

Email Address _____

Alternative Contact Information

Home Number _____

Business Number _____

Mobile Number _____

Fax Number _____

Email Address _____

Please indicate preferred time to take a call.

☐ Morning ☐ Afternoon ☐ Evening

DISCLOSURE POLICY

The non-public personal information we collect about you comes primarily from this account application or other forms you submit to us. We do not disclose your information to anyone, except as permitted by law. All client information will be treated in strictest confidence. If our relationship is terminated, we will continue to treat the information as stated herein. We limit access to your information to those of our employees and service providers who are involved in offering or administering the products or services that we offer.

PRIMARY ACCOUNT HOLDER INFORMATION

In compliance with the Anti-Money Laundering guidelines, you are required to complete the information asked below.

Date of Birth_____

Place of Birth_____

Nationality_____

Country of Citizenship_____

Driver's License Number_____

Passport Number_____

Marital Status: ☐ Unmarried ☐ Married
☐ Separated ☐ Widowed
☐ Divorced

Employment Status: ☐ Self Employed ☐ Employed
☐ Company Owner ☐ Retired
☐ Not Employed

Primary Occupation_____

TRADING EXPERIENCE (Please check appropriate box)

	NONE	LESS THAN 1 YEAR	1-5 YEARS	6-10 YEARS	MORE THAN 10 YEARS
STOCKS					
BONDS					
MUTUAL FUNDS					
COMMODITIES					
FUTURES					
OPTIONS					
CRYPTOCURRENCIES					

INVESTMENT GOALS (PLEASE CHECK APPROPRIATE BOX)

RISK TOLERANCE Aggressive ☐ Moderate ☐ Conservative ☐

INVESTMENT OBJECTIVE Capital Gains ☐ Income ☐

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Place of Birth_____

Nationality_____

Country of Citizenship_____

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FUTURES					
OPTIONS					
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INVESTMENT GOALS (PLEASE CHECK APPROPRIATE BOX)

RISK TOLERANCE Aggressive ☐ Moderate ☐ Conservative ☐

INVESTMENT OBJECTIVE Capital Gains ☐ Income ☐

FINANCIAL INVESTMENT PROFILE

Currency preferred for the succeeding items: USD ☐ EUR ☐ If others, please specify_____

PRIMARY ACCOUNT HOLDER INFORMATION

Annual income from all sources (Exclude income from spouse.)

- ☐ Below \$25,000 ☐ \$100,000 – \$199,999 ☐ \$350,000 – \$499,999
- ☐ \$25,000 – \$99,999 ☐ \$200,000 – \$349,999 ☐ \$500,000 & above

Liquid net worth (Exclude the value of your residence and other non-liquid assets.)

- ☐ Below \$25,000 ☐ \$100,000 – \$199,999 ☐ \$350,000 – \$499,999
- ☐ \$25,000 – \$99,999 ☐ \$200,000 – \$349,999 ☐ \$500,000 & above

Total net value of all assets (Exclude the value of your residence and other non-liquid assets.)

- ☐ Below \$25,000 ☐ \$100,000 – \$199,999 ☐ \$350,000 – \$499,999
- ☐ \$25,000 – \$99,999 ☐ \$200,000 – \$349,999 ☐ \$500,000 & above

Primary source of income

- Salary ☐ Investment ☐ Retirement ☐

Are you affiliated with or employed by a stock exchange or member firm of an exchange or FINRA, or a municipal securities broker-dealer? ☐ Yes ☐ No

Are you a director, 10% shareholder or policy-making officer of a publicly held company?

Yes ☐ (Please specify)_____ ☐ No

Current or former senior official of a foreign government or political party, or senior executive of a foreign government-owned commercial enterprise, or a family member of close associate of such person.

☐ Yes ☐ No

Name of Broker / Dealer (s) where you have had Securities Accounts in the last 5 years:

Name of FCM (s) where you have had Future Accounts in the last 5 years:

SECONDARY ACCOUNT HOLDER INFORMATION

Annual income from all sources (Exclude income from spouse.)

- ☐ Below \$25,000 ☐ \$100,000 – \$199,999 ☐ \$350,000 – \$499,999
- ☐ \$25,000 – \$99,999 ☐ \$200,000 – \$349,999 ☐ \$500,000 & above

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Are you a director, 10% shareholder or policy-making officer of a publicly held company?

Yes ☐ (Please specify)_____ ☐ No

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☐ Yes ☐ No

Name of Broker / Dealer (s) where you have had Securities Accounts in the last 5 years:

Name of FCM (s) where you have had Future Accounts in the last 5 years:

AGREEMENT

- I. Contained in this agreement are the terms applicable to the account owner named on this account application (client) for purchasing and selling of stocks, bonds, services and private placements by **Saltaire Finance PLC**.
- II. Transactions made on behalf of the client are subject to regulations, rules, by-laws, constitution, and normal industry practices relating to the exchanges/clearing houses. Client acknowledges that securities will fluctuate in value depending on the market conditions.
- III. Instruction from client will be whether verbal or written concerning transactions that **Saltaire Finance PLC** will carry out on behalf of client.
- IV. All written correspondences to client shall be accepted as accurate, unless written objection is immediate, or not longer than 3 working days from initial receipt, sent to **Saltaire Finance PLC** by electronic mail. All confirmations of trading transactions and ordinary correspondences will be sent by electronic mail. Any change of client's mailing address must be notified to **Saltaire Finance PLC** in writing. Currency "Slip" notices (buy/sell order or trade confirmation) are quoted in the currency applicable to country where the shares are traded.
- V. **Saltaire Finance PLC** is not responsible in any way for any losses and/or damages suffered by exchange rate fluctuations.
- VI. The "trading account- Client Agreement" can be terminated by **Saltaire Finance PLC** or client within thirty days period in writing only with the commencement date the receiving time of the written notice by the party causing/ requesting the termination. When such period is expired, **Saltaire Finance PLC** has the obligation to send client any proceeds of sales made under "best effort" situation, or actual certificates.

PRINTED NAME & SIGNATURE
(Primary Account Holder)

PRINTED NAME & SIGNATURE
(Secondary Account Holder)

DATE